



PERFORMANCE

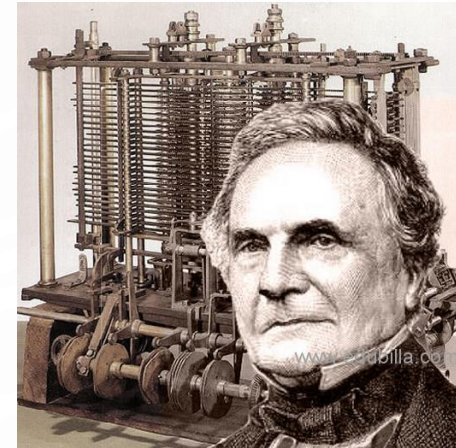
EFFICIENCY

SEARCHING

SORTING

WHAT IS PERFORMANCE?

- Since the early days in computing, computer scientists have concerned themselves with improving hardware, software, visualizations, etc
- Performance can mean many different things
- "The economy of human time is the next advantage of machinery in manufactures." – Charles Babbage



EXAMPLES OF PERFORMANCE

- Fewest computations
 - Smaller memory usage
 - Faster computations
 - Improving accuracy of computations
- How we achieve these
 - Better algorithms
 - Better hardware
 - Better languages



WHY DO WE CARE?

- We want to solve real problems (large) in real time

Google



Bank of America 

amazon 

BIG-OH COMPLEXITY

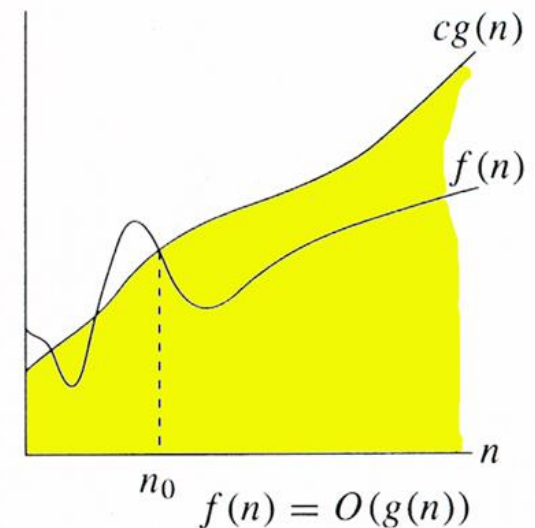
- We will focus our study of performance on time as a metric of performance
- We can measure time experimentally like a stopwatch in our programs:

```
long start = System.nanoTime();  
//run algorithm  
long stop = System.nanoTime();  
double time = (stop - start)/1e9;
```

- We can measure time theoretically with big-oh analysis – an approximation technique for quantifying the time an algorithm takes

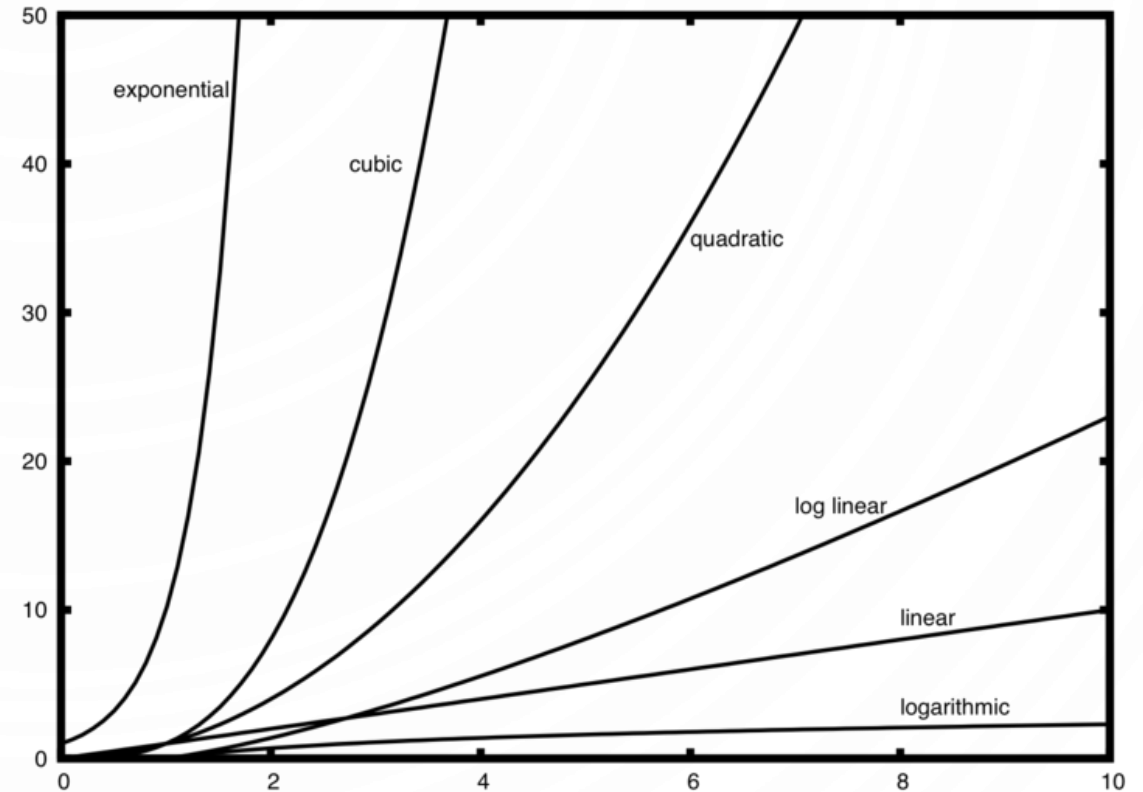
BIG-OH COMPLEXITY

- A function $f(n)$ is $O(g(n))$ (pronounced "big-oh") if there exists constants c , and n_0 such that $f(n) \leq cg(n)$ for all $n \geq n_0$
 - $f(n)$ – real time taken for an algorithm. This is what we want to approximate
 - $g(n)$ – a function that "approximates" $f(n)$, more precisely it is an upper bound to $f(n)$
- We use this, as it describes how long an algorithm will take to compute as the problem size (n) increases
- To determine – count the operations



COMMON BIG-OH FUNCTIONS

- Logarithmic – $O(\log n)$
- Linear – $O(n)$
 - Example: searching for the minimum in an array. We must "look at" all n elements of an array
- Linearithmic – $O(n \log n)$
- Quadratic – $O(n^2)$



SHAMELESS PLUG FOR CMSC 221

- This class is not about how we come up with these equations, or how we design better algorithms. For continued information, continue on in CS coursework
- In this class, I want you to have an intuitive feel of what big-oh means through a few algorithms
- In this class, understand the algorithms I present, but I do not expect you to come up with it yourself

LETS EXPLORE THESE CONCEPTS

- Case study on Searching

- Linear Search
- Binary Search

- Case study on Sorting

- Bubble Sort
- Selection Sort
- Merge Sort

WAIT...HOW DO WE DO EXPERIMENTS?

- We vary the size of the data (usually by powers of two), so test on
$$n = 2^1, 2^2, \dots, 2^d$$
- Repeat each experiment numerous times to:
 - Get an accurate time for operations faster than 1 microsecond (usually one tick of the clock)
 - Average timing considering other tasks running on the computer

- Pseudocode

```
1. for  $N \leftarrow 2^1 \dots 2^d$  do
2.   Setup before timing
3.    $start \leftarrow time()$ 
4.   for  $k \leftarrow 0 \dots repeats$  do
5.      $experiment()$ 
6.    $stop \leftarrow time()$ 
7.    $output \left( \frac{start - stop}{repeats} \right)$ 
```

The background features a series of concentric, light blue circles centered in the middle. In the four corners, there are stylized circuit board traces in a light blue color, consisting of lines and small circles.

CASE STUDY OF SEARCHING

LINEAR SEARCH

- Pseudocode

Input: Array *arr*, Key *k*

Output: **true** if *arr* contains *k*, **false** otherwise

```
1. for each a ∈ arr do
2.   if a = k then
3.     return true
4. return false
```

- Complexity?

- Linear – $O(n)$
- Reasoning – The search might have to visit each of the n elements contained in the array.
- Note – it doesn't matter if the first element is equal to the key, that is a *special case*. On average we must search $\frac{n}{2}$ elements. Additionally, we don't care about a specific size, we are interested in performance as the size tends to infinity

CAN WE DO BETTER?

- Computer scientists always ask this kind of question, *can we do better?*
- Well in general...no, this is about the best we can do with searching.
- Computer scientists then ask a follow-up questions, *can we do better in special cases?*
- Yes! If we knew the input was sorted we could do much better.

BINARY SEARCH

- Pseudocode

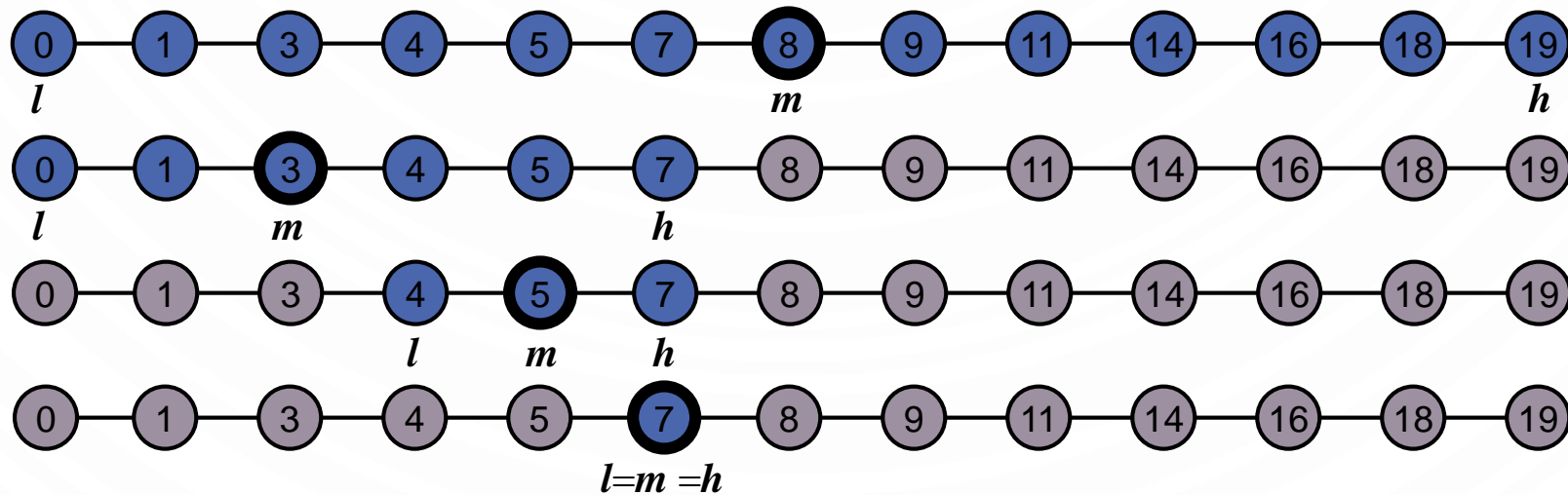
Input: Sorted array *arr*, Key *k*

Output: **true** if *arr* contains *k*, **false** otherwise

1. $low \leftarrow 0$
2. $high \leftarrow arr.length - 1$
3. **while** $lo \leq hi$ **do**
4. $mid \leftarrow \frac{high+low}{2}$
5. **if** $k < arr[mid]$ **then**
6. $high \leftarrow mid - 1$
7. **else if** $k > arr[mid]$ **then**
8. $low \leftarrow mid + 1$
9. **else**
10. **return true**
11. **return false**

BINARY SEARCH

- How it works?
- Key is 7



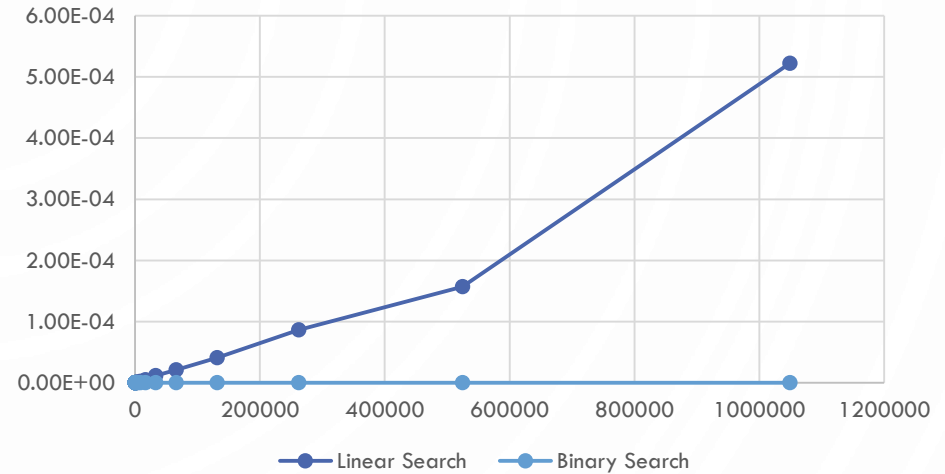
BINARY SEARCH

- Complexity?
 - Logarithmic – $O(\log n)$
 - Reasoning – in each iteration of the loop, we eliminate half of the indices as possible cells to hold the key. The number of times you can repeatedly divide a number by 2 is the definition of a logarithm
 - Note – I am loose on the base of the logarithm. If you feel more comfortable with one, it will always be base 2. However, in big-oh complexity the base doesn't matter. See me after class if you would like a proof.

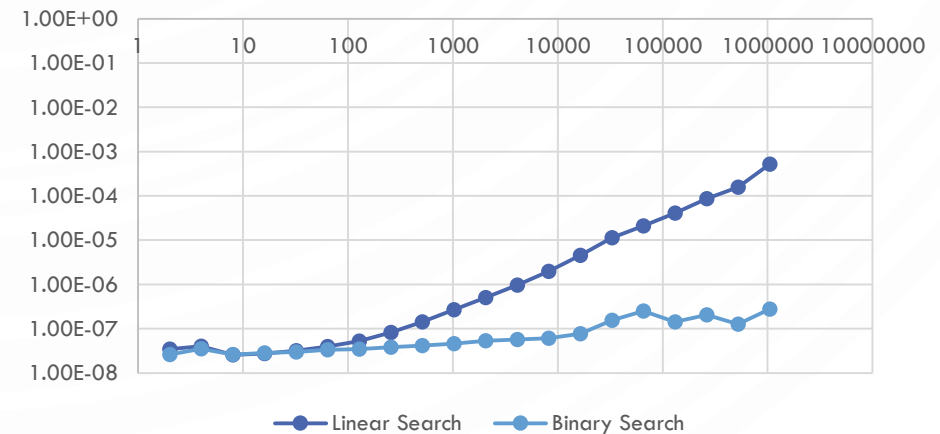
EXPERIMENT SEARCHING

- Download Search.java from the course website. It contains an experiment ready to go comparing the different searches. Lets go through the file to ensure we understand each component.
- Run the file, open up the csv file in Microsoft Excel
- Make a line scatter plot of the size vs the time of the methods
 - Convert to a log-log plot to get a better picture of the data

Linear Search vs Binary Search



Linear Search vs Binary Search
log-log plot



CONCLUSION

- A smaller complexity drastically affects runtime
- $O(\log n)$ is much faster than $O(n)$

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CASE STUDY OF SORTING

BUBBLE SORT

- Pseudocode

Input: Array *arr*

Output: Sorted array

```
1. for i ← 1 ... arr.length do
2.   for j ← 0 ... arr.length - i do
3.     if arr[j] > arr[j + 1] then
4.       swap(arr, j, j + 1)
```

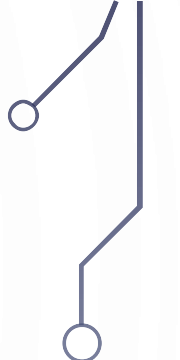

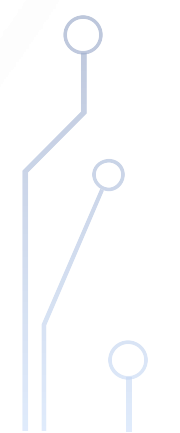
- Complexity

- Quadratic – $O(n^2)$
- Reasoning – There are n passes over the array, in each pass n elements are visited and possibly swapped. $n * n = n^2$

6 5 3 1 8 7 2 4



CAN WE DO BETTER?

- Computer scientists always ask this kind of question, *can we do better?*
 - Identify the weakness here, bubble sort swaps too much
 - Can we fix it?
- 
- 
- 

SELECTION SORT

- Pseudocode

Input: Array *arr*

Output: Sorted array

```
1. for  $i \leftarrow 0 \dots arr.length - 2$  do
2.    $min \leftarrow i$ ;
3.   for  $j \leftarrow i \dots arr.length - 1$  do
4.     if  $arr[j] < arr[min]$  then
5.        $min \leftarrow j$ 
6.   swap( $arr, i, min$ )
```

- Complexity?

- Quadratic – $O(n^2)$
- Reasoning – In each iteration of the outer loop, we must find the minimum in the rest of the array, and we swap this minimum into place. Doing this n times, takes in total $O(n^2)$ operations.

8
5
2
6
9
3
1
4
0
7

CAN WE DO BETTER?

- This was not satisfying, bubble sort and selection sort have the same complexity, even though selection sort is a much nicer idea (and performs better in practice, will see soon)
- Computer scientists always ask this kind of question, *can we do better?*
- Maybe we can try a radically different idea

MERGE SORT

- Split the array in half
- Sort each half recursively
- Merge the two back together

6 5 3 1 8 7 2 4

MERGE SORT

- Pseudocode Sort

Input: Array *arr*

Output: Sorted array

1. **if** *arr.length* < 2 **then return**
2. *l, r* ← *split(arr)*
3. MergeSort(*l*)
4. MergeSort(*r*)
5. *arr* ← *merge(l, r)*

- Pseudocode Merge

Input: Sorted arrays *l* and *r*

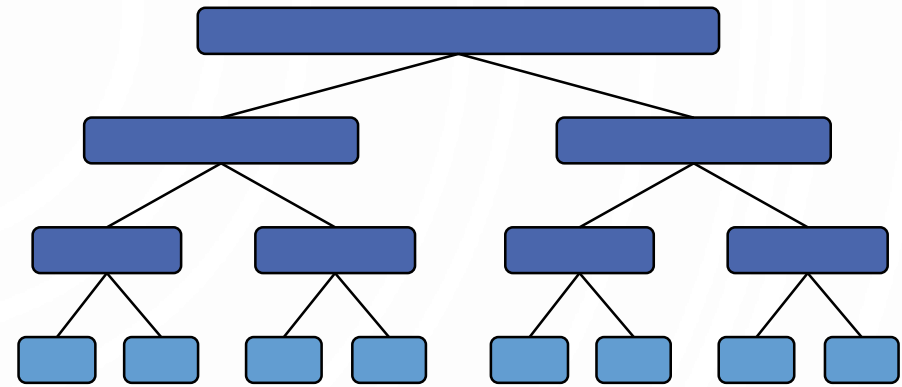
Output: Sorted array *arr*

1. *arr* ← *newArray(l.length, r.length)*
2. *i* ← 0; *j* ← 0; *k* ← 0
3. **while** *i* < *l.length* ∧ *j* < *r.length* **do**
4. **if** *l[i]* < *r[j]* **then**
5. *arr[k]* ← *l[i]*; *k* ← *k* + 1; *i* ← *i* + 1
6. **else**
7. *arr[k]* ← *l[j]*; *k* ← *k* + 1; *j* ← *j* + 1
8. **while** *i* < *l.length* **do**
9. *arr[k]* ← *l[i]*; *k* ← *k* + 1; *i* ← *i* + 1
10. **while** *j* < *r.length* **do**
11. *arr[k]* ← *l[j]*; *k* ← *k* + 1; *j* ← *j* + 1
12. **return** *arr*

MERGE SORT

- Complexity?

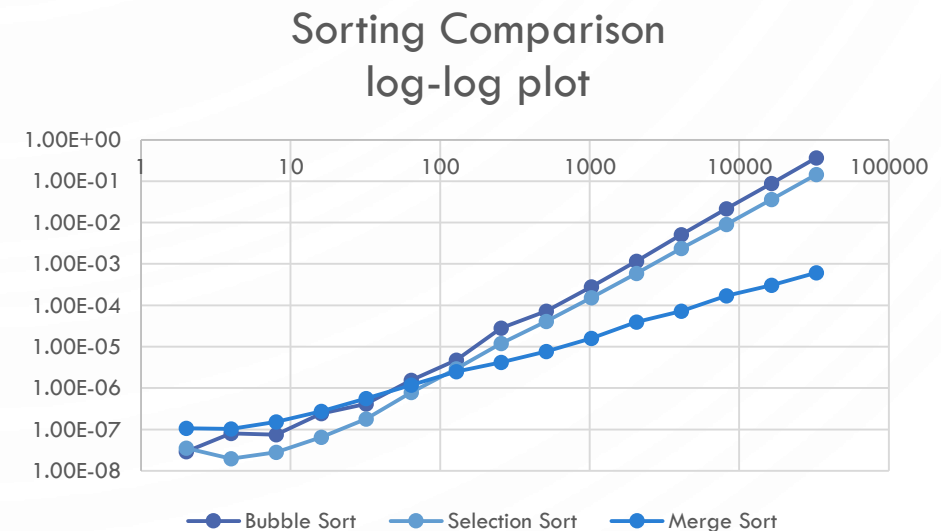
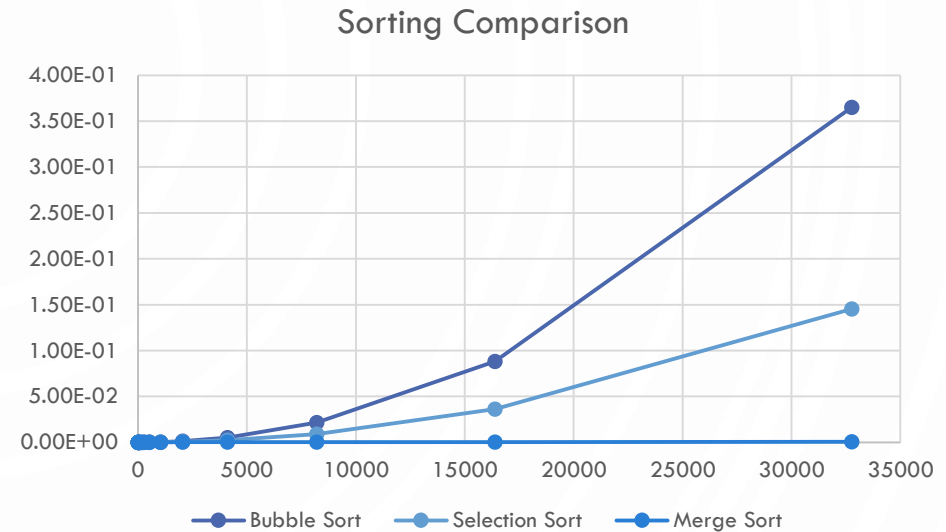
- Linearithmic – $O(n \log n)$
- Reasoning – At each iteration of the recursive function we split the array in half and merge it back together. This is n work. Then we do this same amount of work at each level of the recursion tree. Since we split in half repeatedly, there are a logarithmic number of levels. Thus – n work on $\log n$ levels is $O(n \log n)$



depth	#seqs	size	Cost for level
0	1	n	n
1	2	$n/2$	n
...
i	2^i	$\frac{n}{2^i}$	n
...
$\log n$	$2^{\log n} = n$	$\frac{n}{2^{\log n}} = 1$	n

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CONCLUSION

- Two algorithms can have the same complexity, but different actual performance
 - We need to experiment on our data
- Smaller complexity will always beat an optimized higher complexity
 - However, note that this doesn't necessarily apply to small values of n
 - Lesson – choosing an appropriate algorithm requires understanding the size of your data

ALGORITHM SUMMARY

- **Searching**

- Linear Search – linear time or $O(n)$
- Binary Search – logarithmic time or $O(\log n)$

- **Sorting**

- Bubble Sort – quadratic time or $O(n^2)$
- Selection Sort – quadratic time or $O(n^2)$
- Merge Sort – linearithmic time or $O(n \log n)$